

Clartan - Ethos ESG Europe Small & Mid Cap

LU2225829204, LU2225829386, LU2225829469, LU2225829543

Emittent	Clartan Associés
Fondsanbieter	Clartan Associés
Fondstyp/Asset Klasse	Aktienfonds
Rechtsform	SICAV
Auflage des Fonds	18.09.2020
Letzte Aktualisierung	20.06.2023
Benchmark	Morningstar Developed Europe Mid Cap Target Market Exposure, net return in EUR
Tranche	Privatanleger Institutionelle Anleger Stiftungen



Risikoindikator (BIB)
4 von 7

Zugelassen in
D, A, CH, LU

Eingruppierung nach SFDR
Artikel 8

EU Taxonomie
-

Investitionsquote nach SFDR
-

Principal Adverse Impacts

Keine expliziten Angaben zu den Principal Adverse Impacts.

Ziele für nachhaltige Entwicklung

Keine expliziten Angaben zu den Zielen für nachhaltige Entwicklung

REGELMÄSSIGE ALLOKATION

Aktien	92 % bis 100 %
Anleihen	Ausgeschlossen
Geldmarkt/Bankeinlagen	0 % bis 8 %
Rohstoffe	Ausgeschlossen
Direktbeteiligungen	Ausgeschlossen
Derivate	Ausgeschlossen
Weitere	-

Nachhaltigkeitsansatz

Principal Adverse Impacts	-
Erläuterungen zur Berücksichtigung der SDGs	-
Begründung der Eingruppierung nach SFDR	Artikel 8
Engagement	Ja, intern organisiert Link zur Engagementrichtlinie
Stimmrechtsausübung	Ja, intern und extern organisiert Link zur Abstimmungsrichtlinie
Best-in/of-Class Auswahlverfahren	<p>Best-In-Class</p> <p>Ethos have developed a unique and proprietary sustainable methodology for the Fund that relies on its longstanding expertise. To determine in which category a company falls, Ethos applies a four-stage selection process: 1st stage: Negative screening (product-based screening) Ethos identifies companies with sensitive activities and or active in sensitive sectors, such as armament (conventional and unconventional), thermal coal, non-conventional oil & gas, nuclear industry, tobacco, genetically modified organisms in the agribusiness, gambling and adult entertainment. 2nd stage: Positive screening (best-in-class) Ethos assesses companies based on a standard quantitative multi-criteria approach using approximately 100 ESG data points split into three main categories: Governance (30%), Strategy & Reporting (20-30%) and Stakeholders (40-50%). The weights of the three main categories are fixed but not those of the subdivisions which depend on the company's sector. Each company is given an ESG score based on the abovementioned criterion. The ESG score takes into account industry specific ESG characteristics and positions the company with regard to its peers. An ESG rating is attributed to each company depending on its ESG score (quantitative) and its controversy assessment level (qualitative). Based on evidence that is checked by its staff, Ethos identifies companies involved in serious ESG controversies, such as human rights and labour rights breaches, serious environmental damages, severe corruption, etc. In this process, Ethos also measures the pertinence of the companies' responses to such controversies and takes it into account in the result of the screening. The result of this assessment is a comprehensive evaluation of companies' policies and management systems (G) with respect to the environmental (E) and social (S) challenges they face and therefore allows the identification of forward-thinking companies that meet positive standards of corporate responsibility with a long-term focus and are leaders in their industries. In addition, for each company, Ethos applies a Carbon rating, which measures the carbon footprint of companies and compares it with the footprint of their peers (quantitative assessment) and assesses their climate change strategy (qualitative assessment), such as the strategy implemented by the company in order to reduce its carbon footprint and tackle climate change. 3rd stage: Positive screening (Positive Impact) Finally, Ethos identifies companies whose products and services have a positive impact on the environment and society as a whole and provide solutions to global challenges. These impacts include Circular Economy, Education, Low Carbon Mobility, Health, Natural Capital, Pollution Control, Sustainable Building, Sustainable Energy, Sustainable Finance and Sustainable Water Management. 4th stage: Consolidation of sustainability assessment Ethos has established a comprehensive set of ESG criteria to assess companies' contribution to sustainable development. Companies that make the greatest efforts and deliver positive contributions to sustainability are favoured. Ethos not only considers the operations but also the added value of products and services in terms of social and environmental performance and is thus able to provide its clients with high-quality ESG research. Companies are classified into the following sustainability categories: - Leader ESG/Impact: best practice in terms of social and environmental responsibility (CSR) / A significant share of the solutions and services offered have a generally positive impact in responding to global challenges. - Strong Performer ESG/Impact: recognises sustainability issues and is implementing cutting-edge SER policies / Innovative solutions and services with a generally positive impact in responding to global challenges. - Challenger: positive steps to adapt in recognition of sustainability issues. These companies are often candidates for promotion to the categories above. - Follower: significant controversies and/or seemingly failing to manage environmental and social issues satisfactorily and/or with governance that presents a significant risk for shareholders. - Laggard: active in sectors excluded by Ethos, involved in major controversies or failing to meet minimum standards in SER and governance matters. The Fund can only invest in Leader, Strong Performer and Challenger. Based on this methodology, only 40% of the initial investment universe are eligible to enter the Fund.</p>
ESG-Integration	Nein
Normbasiertes Screening	<ul style="list-style-type: none"> ✓ UN Global Compact ✓ ILO-Kernarbeitsnormen ✓ OECD Richtlinien für Multinationale Unternehmen <p>Weitere Universal Declaration of Human Rights, UN Guiding Principles on Business and Human Rights, UN Sustainable Development Goals, among others</p>
Themen	-
Impact Investing	-
Nachhaltigkeitsansatz des Fondsanbieters	<p>Without being a thematic or impact fund, the fund favours companies that integrate best practice in terms of social and environmental responsibility (CSR) and/or have a significant share of the products and services that have a generally positive impact in responding to global challenges.</p> <p>Link zum Nachhaltigkeitsansatz</p>

Research und Tranzparenz

Interne Nachhaltigkeitsanalyse	Ja, 21
Researchpartner	Ja Sustainalytics, RepRisk, Trucost (S&P Global)
%-Anteil des Portfolios mit Nachhaltigkeitsanalyse	100 %
Einbezug von strategisch wichtigen Zulieferern	Ja
Nachhaltigkeitsbeirat	Nein
CO2 Portfolio Analyse	Ja, rückwärtsgerichteter Indikator The carbon footprint is calculated at least on a quarterly basis and compared to the relevant benchmark to assess the carbon profile of the fund via the weighted average of the carbon intensity (WACI) method (scope 1 and scope 2).
Nachhaltigkeitsreporting	Ja Monthly factsheets with ESG indicators are published as well as quarterly carbon footprint and proxy voting reports.
Mind. monatliche Portfolioveröffentlichung	Ja Link zur monatlichen Portfolioveröffentlichung
Zertifizierung/Auszeichnung durch unabhängige Auditoren	✓ FNG-Siegel ★★ Weitere FNG Siegel (2 stars). We are applying to the Label ISR

Umwelt

AUSSCHLUSS VON BRANCHEN/GESCHÄFTSFELDERN UNTERNEHMEN

Fossile Brennstoffe (Kohle, Öl und Gas)	Nein
Kernenergie (Betrieb und Komponenten)	Ja 5 % Umsatztoleranz
Grüne Gentechnik	Ja 5 % Umsatztoleranz
Chlor und Agrochemie (Biozide)	Nein
Weitere	Coal, Oil sands, Shale gas and oil, Arctic oil and gas, Transport of unconventional fossil fuels in oil and gas pipelines 5 % Umsatztoleranz

AUSSCHLUSS VON GESCHÄFTSPRAKTIKEN UNTERNEHMEN

Umweltschädliches Verhalten	Ja
Schädigung der Biodiversität	Ja
Weitere	Violation of the precautionary principle, hazardous industrial production methods, non-conventional mining or oil production methods

AUSSCHLUSSKRITERIEN STAATEN

Nichtratifizierung Pariser Klimaabkommen	N/A
Nichtratifizierung des Protokolls über biolog. Sicherheit	N/A
Nichtratifizierung der UN-Biodiversitäts-Konvention	N/A
Kernenergie nach Anteil an der Bruttoenergieerzeugung	N/A
Weitere	-

Soziales

AUSSCHLUSS VON BRANCHEN/GESCHÄFTSFELDERN UNTERNEHMEN

Waffen/Rüstungsgüter	Ja 5 % Umsatztoleranz
Streubomben und Antipersonenminen	Ja
Massenvernichtungswaffen (ABC/CBRN)	Ja
Tabakwaren	Ja 5 % Umsatztoleranz
Pornographie	Ja 5 % Umsatztoleranz
Spirituosen/Alkohol	Nein
Glücksspiel	Ja 5 % Umsatztoleranz
Weitere	-

AUSSCHLUSS VON GESCHÄFTSPRAKTIKEN UNTERNEHMEN

Arbeitsrechte (ILO Kernarbeitsnormen)	Ja
Ausbeuterische Kinderarbeit	Ja
Menschenrechtsverletzung	Ja
Tierversuche	Ja
Weitere	-

AUSSCHLUSSKRITERIEN STAATEN

Arbeitsrechte	N/A
Menschenrechtsverletzung	N/A
>3% - Anteil Rüstungsausgaben vom BIP	N/A
Todesstrafe, Folter	N/A
Unfreie Staaten laut Freedom House	N/A
Verstöße gegen den Atomwaffensperrvertrag	N/A
Verstöße gegen sonstige Waffensperrverträge	N/A
Weitere	-

Governance

AUSSCHLUSS VON GESCHÄFTSPRAKTIKEN UNTERNEHMEN

Korruption und Bestechung	Ja
Steuer: planmäßige Vermeidung & festgestellte Verstöße	Ja
Weitere	Non-compliance with certain fundamental principles of good corporate governance respected, in particular the poor protection of minority shareholders

AUSSCHLUSSKRITERIEN STAATEN

Korruption	N/A
Weitere	-

Quelle: Unternehmensangaben. | Für die Angaben der Unternehmen kann keine Haftung übernommen werden. Die Angaben dienen nur zur Information und stellen keine Beratung oder Empfehlung dar. Bitte beachten Sie den Haftungsausschluss unter www.forum-ng.org/disclaimer. Das FNG-Nachhaltigkeitsprofil bietet nur einen ersten Überblick und ist kein Ersatz für eine eigenständige Analyse. Weiterführende Erläuterungen finden Sie unter www.forum-ng.org