

# Deka MSCI Germany Climate Change ESG UCITS ETF

DE000ETFL540

|                       |                                                        |
|-----------------------|--------------------------------------------------------|
| Issuer                | Deka Investment GmbH                                   |
| Fund provider         | Deka Investment GmbH                                   |
| Fund type/Asset class | ETF                                                    |
| Legal form            | Offener Investmentfonds                                |
| Fund issue date       | 26/06/2020                                             |
| Last Update           | 11.09.2023                                             |
| Benchmark             | MSCI Germany Climate Change ESG Select EUR Price Index |
| Tranche               | -                                                      |

|                                  |                     |                                     |                  |                                                     |
|----------------------------------|---------------------|-------------------------------------|------------------|-----------------------------------------------------|
| Risk Indicator (PRIIP)<br>5 of 7 | Licensed in<br>D, A | Classification by SFDR<br>Article 9 | EU Taxonomy<br>- | % of Sustainable Investments according to SFDR<br>- |
|----------------------------------|---------------------|-------------------------------------|------------------|-----------------------------------------------------|

## Principal Adverse Impacts



## Sustainable Development Goals

No explicit details regarding the Sustainable Development Goals

## NORMAL ALLOCATION

|                            |               |
|----------------------------|---------------|
| Equities                   | 95 % to 100 % |
| Bonds                      | Excluded      |
| Money market/Bank deposits | 0 % to 5 %    |
| Commodities                | Excluded      |
| Direct investments         | Excluded      |
| Derivatives                | Excluded      |
| Other                      | -             |

## Sustainability approach

|                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Principal Adverse Impacts                          | <ul style="list-style-type: none"> <li>✓ Greenhouse Gas Emissions</li> <li>✓ Water</li> <li>✓ Waste</li> <li>✓ Biodiversity</li> <li>✓ Energy Efficiency and Fossil Fuels</li> <li>✓ Social and Employee Matters</li> </ul>                                                                                                                                                                                                                                                            |
| Further remarks on the consideration of SDGs       | -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Rationale behind classification according to SFDR  | <p>Article 9</p> <p>Die Benchmark erfüllt den Mindeststandard für EU-Referenzwerte für den klimabedingten Wandel (EU Climate Transition Benchmarks) nach der Delegierten Verordnung (EU) 2020/1818.</p>                                                                                                                                                                                                                                                                                |
| Engagement                                         | <p>Yes, organized internally</p> <p>🔗 <a href="#">Link to engagement policy</a></p>                                                                                                                                                                                                                                                                                                                                                                                                    |
| Voting                                             | <p>Yes, organized internally</p> <p>🔗 <a href="#">Link to voting policy</a></p>                                                                                                                                                                                                                                                                                                                                                                                                        |
| Best-in/of-Class                                   | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| ESG-Integration                                    | <p>Yes</p> <p>Die Gewichtung der Wertpapiere im Index erfolgt auf Basis der Marktkapitalisierung, sowie mit Einbezug des MSCI Low Carbon Transition Scores (LCT). Der LCT Score wird von MSCI ermittelt, basiert auf dem CO2-Intensität-Profil eines Unternehmens und stellt ein Maß für Chancen und Risiken von Unternehmen in Bezug auf die Transition hin zu einer emissionsarmen Wirtschaft dar. Unternehmen mit einem hohen/niedrigen Score werden über- bzw. untergewichtet.</p> |
| Norm-based screening                               | <ul style="list-style-type: none"> <li>✓ UN Global Compact</li> <li>✓ ILO core labour standards</li> </ul>                                                                                                                                                                                                                                                                                                                                                                             |
| Themes                                             | <ul style="list-style-type: none"> <li>✓ Climate change</li> </ul> <p>Die Benchmark erfüllt den Mindeststandard für EU-Referenzwerte für den klimabedingten Wandel (EU Climate Transition Benchmarks) nach der Delegierten Verordnung (EU) 2020/1818.</p>                                                                                                                                                                                                                              |
| Impact Investing                                   | -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Further information on the sustainability approach | <p>Der zugrundeliegende Index kombiniert Ausschlusskriterien mit einem sogenannten "Tilting-Ansatz", bei dem die im Index enthaltenen Unternehmen anhand ihrer Chancen und Risiken in Bezug auf den Übergang zu einer emissionsärmeren Wirtschaft umgewichtet werden.</p> <p>🔗 <a href="#">Link to sustainability approach</a></p>                                                                                                                                                     |

## Research and Transparency

|                                                      |                                                                           |
|------------------------------------------------------|---------------------------------------------------------------------------|
| In-house sustainability analysis                     | Yes                                                                       |
| Research partners                                    | <p>Yes</p> <p>MSCI</p>                                                    |
| Percentage of portfolio with sustainability analysis | 100 %                                                                     |
| Strategically important suppliers included           | Yes                                                                       |
| Sustainability advisory committee                    | No                                                                        |
| Analysis of portfolio CO2                            | Yes, backward-looking indicator                                           |
| Sustainability reporting                             | Yes                                                                       |
| At least monthly portfolio publication               | <p>Yes</p> <p>🔗 <a href="#">Link to monthly portfolio publication</a></p> |
| Certification/Label awarded by independent auditors  | -                                                                         |

## Environmental

### EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

|                                                 |                                             |
|-------------------------------------------------|---------------------------------------------|
| <b>Fossil fuels (Coal, Oil and Gas)</b>         | Yes                                         |
| <b>Nuclear power (operation and components)</b> | Yes<br>5 % Turnover threshold               |
| <b>Green genetic engineering</b>                | No                                          |
| <b>Chlorine and agrochemicals (biocides)</b>    | No                                          |
| <b>Other</b>                                    | Kohleverstromung<br>10 % Turnover threshold |

### EXCLUSION OF BUSINESS PRACTICES – COMPANIES

|                                           |     |
|-------------------------------------------|-----|
| <b>Environmentally damaging behaviour</b> | Yes |
| <b>Damage to biodiversity</b>             | No  |
| <b>Other</b>                              | -   |

### EXCLUSION CRITERIA – COUNTRIES

|                                                               |    |
|---------------------------------------------------------------|----|
| <b>Non-ratification of the Paris Climate Agreement</b>        | No |
| <b>Non-ratification of the Protocol on Biosafety</b>          | No |
| <b>Non-ratification of the UN Biodiversity Convention</b>     | No |
| <b>Nuclear power by proportion of gross energy production</b> | No |
| <b>Other</b>                                                  | -  |

## Social

### EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

|                                               |                               |
|-----------------------------------------------|-------------------------------|
| <b>Weapons/Armaments</b>                      | Yes<br>5 % Turnover threshold |
| <b>Cluster bombs and anti-personnel mines</b> | Yes                           |
| <b>Weapons of mass destruction (ABC/CBRN)</b> | Yes                           |
| <b>Tobacco products</b>                       | Yes<br>5 % Turnover threshold |
| <b>Pornography</b>                            | No                            |
| <b>Spirits/Alcohol</b>                        | No                            |
| <b>Gambling</b>                               | No                            |
| <b>Other</b>                                  | sehr schwere ESG-Kontroversen |

### EXCLUSION OF BUSINESS PRACTICES – COMPANIES

|                                                  |     |
|--------------------------------------------------|-----|
| <b>Labour rights (ILO core labour standards)</b> | Yes |
| <b>Exploitative child labour</b>                 | Yes |
| <b>Violation of human rights</b>                 | Yes |
| <b>Animal testing</b>                            | No  |
| <b>Other</b>                                     | -   |

EXCLUSION CRITERIA – COUNTRIES

|                                                    |    |
|----------------------------------------------------|----|
| Labour rights                                      | No |
| Violation of human rights                          | No |
| Military spending as a percentage of GDP           | No |
| Death penalty, torture                             | No |
| Countries classified by Freedom House as not free  | No |
| Violations of the Nuclear Non-Proliferation Treaty | No |
| Violations of other non-proliferation treaties     | No |
| Other                                              | -  |

Governance

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

|                                              |                           |
|----------------------------------------------|---------------------------|
| Corruption and bribery                       | Yes                       |
| Tax: planned avoidance & detected violations | No                        |
| Other                                        | MSCI ESG Rating CCC und B |

EXCLUSION CRITERIA – COUNTRIES

|            |    |
|------------|----|
| Corruption | No |
| Other      | -  |

Quelle: Unternehmensangaben. | Für die Angaben der Unternehmen kann keine Haftung übernommen werden. Die Angaben dienen nur zur Information und stellen keine Beratung oder Empfehlung dar. Bitte beachten Sie den Haftungsausschluss unter [www.forum-ng.org/disclaimer](http://www.forum-ng.org/disclaimer). Das FNG-Nachhaltigkeitsprofil bietet nur einen ersten Überblick und ist kein Ersatz für eine eigenständige Analyse. Weiterführende Erläuterungen finden Sie unter [www.forum-ng.org](http://www.forum-ng.org)