

# Ethos II - Ethos Swiss Sustainable Equities

CH0576443458, CH0576443466, CH0576443474, CH0576443482

Issuer	BCV/Gérifonds
Fund provider	Ethos Services SA
Fund type/Asset class	Equity fund
Legal form	Contractual fund, under Swiss law, of the "other funds for traditional investments" type
Fund issue date	19/11/2020
Last Update	18.06.2024
Benchmark	SPI, Total Return in CHF
Tranche	Private Investors Institutional Investors foundations



**Risk Indicator (PRIIP)**  
4 of 7

**Licensed in**  
CH

**Classification by SFDR**  
Article 8

**EU Taxonomy**  
-

**% of Sustainable Investments according to SFDR**  
-

## Principal Adverse Impacts

No explicit details regarding the principal adverse impacts.

## Sustainable Development Goals

No explicit details regarding the Sustainable Development Goals

## NORMAL ALLOCATION

Equities	95 % to 100 %
Bonds	Excluded
Money market/Bank deposits	0 % to 5 %
Commodities	Excluded
Direct investments	Excluded
Derivatives	Excluded
Other	-

## Sustainability approach

Principal Adverse Impacts	-
Further remarks on the consideration of SDGs	-
Rationale behind classification according to SFDR	Article 8 Equivalent to Article 8
Engagement	Yes, organized internally <a href="#">↗ Link to engagement policy</a>
Voting	Yes, organized internally <a href="#">↗ Link to voting policy</a>
Best-in/of-Class	Best-In-Class  Ethos assesses companies based on a standard quantitative multi-criteria approach using approximately 100 ESG data points split into three main categories: Governance (40%), Strategy & Reporting (20%) and Stakeholders (40%). The weights of the three main categories are fixed but not those of the subdivisions which depend on the company's sector. Each company is given an ESG score based on the abovementioned criterion. The ESG score takes into account industry specific ESG characteristics and positions the company with regard to its peers. An ESG rating is attributed to each company depending on its ESG score (quantitative) and its controversy assessment level (qualitative). Based on evidence that is checked by its staff, Ethos identifies companies involved in serious ESG controversies, such as human rights and labour rights breaches, serious environmental damages, severe corruption, etc. In this process, Ethos also measures the pertinence of the companies' responses to such controversies and takes it into account in the result of the screening. In addition, for each company, Ethos applies a Carbon rating, which measures the carbon footprint of companies and compares it with the footprint of their peers (quantitative assessment) and assesses their climate change strategy (qualitative assessment), such as the strategy implemented by the company in order to reduce its carbon footprint and tackle climate change. The funds can only invest in companies with the best ESG ratings and Carbon ratings.
ESG-Integration	No
Norm-based screening	✓ UN Global Compact ✓ ILO core labour standards ✓ OECD Guidelines for Multinational Enterprises  <b>Other</b> Universal Declaration of Human Rights, UN Guiding Principles on Business and Human Rights, UN Sustainable Development Goals, among others
Themes	-
Impact Investing	-
Further information on the sustainability approach	<a href="#">↗ Link to sustainability approach</a>

## Research and Transparency

In-house sustainability analysis	Yes, 23
Research partners	Yes RepRisk, Trucost (S&P Global)
Percentage of portfolio with sustainability analysis	100 %
Strategically important suppliers included	Yes
Sustainability advisory committee	No
Analysis of portfolio CO2	Yes, backward-looking indicator  The carbon footprint is calculated at least on a quarterly basis and compared to the relevant benchmark to assess the carbon profile of the fund via the weighted average of the carbon intensity (WACI) method (scope 1 and scope 2).
Sustainability reporting	Yes  Monthly factsheets with ESG indicators are published as well as quarterly carbon footprint and proxy voting reports.
At least monthly portfolio publication	Yes <a href="#">↗ Link to monthly portfolio publication</a>
Certification/Label awarded by independent auditors	✓ FNG Label ★★★  <b>Other</b> FNG Label (3 stars)

## Environmental

### EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Fossil fuels (Coal, Oil and Gas)	No
Nuclear power (operation and components)	Yes 5 % Turnover threshold
Green genetic engineering	Yes 5 % Turnover threshold
Chlorine and agrochemicals (biocides)	No
Other	Coal, Oil sands, Shale gas and oil, Arctic oil and gas, Transport of unconventional fossil fuels in oil and gas pipelines 5 % Turnover threshold

## EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Environmentally damaging behaviour	Yes
Damage to biodiversity	Yes
Other	Violation of the precautionary principle, hazardous industrial production methods, non-conventional mining or oil production methods

## EXCLUSION CRITERIA – COUNTRIES

Non-ratification of the Paris Climate Agreement	N/A
Non-ratification of the Protocol on Biosafety	N/A
Non-ratification of the UN Biodiversity Convention	N/A
Nuclear power by proportion of gross energy production	N/A
Other	-

## Social

### EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Weapons/Armaments	Yes 5 % Turnover threshold
Cluster bombs and anti-personnel mines	Yes
Weapons of mass destruction (ABC/CBRN)	Yes
Tobacco products	Yes 5 % Turnover threshold
Pornography	Yes 5 % Turnover threshold
Spirits/Alcohol	No
Gambling	Yes 5 % Turnover threshold
Other	-

## EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Labour rights (ILO core labour standards)	Yes
Exploitative child labour	Yes
Violation of human rights	Yes
Animal testing	Yes
Other	-

## EXCLUSION CRITERIA – COUNTRIES

Labour rights	N/A
Violation of human rights	N/A
Military spending as a percentage of GDP	N/A
Death penalty, torture	N/A
Countries classified by Freedom House as not free	N/A
Violations of the Nuclear Non-Proliferation Treaty	N/A
Violations of other non-proliferation treaties	N/A
Other	-

Governance

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Corruption and bribery	Yes
Tax: planned avoidance & detected violations	Yes
Other	Non-compliance with certain fundamental principles of good corporate governance respected, in particular the poor protection of minority shareholders

EXCLUSION CRITERIA – COUNTRIES

Corruption	N/A
Other	-

Quelle: Unternehmensangaben. | Für die Angaben der Unternehmen kann keine Haftung übernommen werden. Die Angaben dienen nur zur Information und stellen keine Beratung oder Empfehlung dar. Bitte beachten Sie den Haftungsausschluss unter [www.forum-ng.org/disclaimer](http://www.forum-ng.org/disclaimer). Das FNG-Nachhaltigkeitsprofil bietet nur einen ersten Überblick und ist kein Ersatz für eine eigenständige Analyse. Weiterführende Erläuterungen finden Sie unter [www.forum-ng.org](http://www.forum-ng.org)