

General

Issuer	SFS Sycomore Sélection Responsable						
Asset Manager / Advisor	Sycomore Asset Management						
ISIN/WKN	LU1440642087 LU1440644372 LU1786954799 LU1440644455 LU1440644612						
Fondstype – Asset Class	Aktienfonds						
Legal structure	FCP						
frequent Allocation	Equity	90 Prozent bis					
(please check the date)	Bonds						
	Real Estate						
	Money Market	0 Prozent bis		10 Prozent			
	Commodities						
	Microfinance						
	Direct investments						
	Derivatives						
	Further:						
Risk category	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input checked="" type="checkbox"/> 5	<input type="checkbox"/> 6	<input type="checkbox"/> 7
Benchmark	Euro Stoxx TR						
ETF							
Registered in	<input checked="" type="checkbox"/> D	<input checked="" type="checkbox"/> A	<input checked="" type="checkbox"/> CH	<input type="checkbox"/> L			

Sustainability approach

Positiv criteria	<input checked="" type="checkbox"/> Environment	<input checked="" type="checkbox"/> Ethics/Social	<input checked="" type="checkbox"/> Governance
Exclusion criteria	<input checked="" type="checkbox"/> Environment	<input checked="" type="checkbox"/> Ethics/Social	<input checked="" type="checkbox"/> Governance
Engagement	Ja, intern organisiert		
Execution of voting rights	Ja, intern organisiert	https://en.sycomore-am.com/5cb7493e-Rappor	
Best-in-Class	Nein		
Best-in-Universe			
Sustainability through integration	Nein		
Our general ESG policy applies to all assets with 3 levels of ESG integration described in the policy https://en.sycomore-am.com/5dc59295-1._ESG_integration_policy_SPIICE_Sycomore_AM_July_2019_ENG.pdf			
Thematic approach	Nein		
Sycomore Selection Responsable is a conviction-driven SRI fund. This concentrated portfolio focuses on a selection of stocks picked on the basis of ESG research (Environment, Social and Governance) and in keeping with the principles of sustainable development.			
Further information to sustainability approach			
Positive selection (best in universe, best effort) / ESG integration / Exclusion / Engagement Sycomore Sélection Responsable is subject to dedicated ESG selection and exclusion criteria described in the transparency code: https://en.sycomore-am.com/5e4a5cb9-5._SYCOMORE_-_SRI_equity_funds_-_AFG-Eurosif-FIR_Transparency_Code_2019_ENG.pdf			

Sustainabilityresearch

Internal sustainability anlysis and No. of analysts	20 including 7 SRI specialists	
Research partner and No. of analysts	Nein	internal research
Research partner has CSRR-QS certification		
Amount of analyzed companies	850 actively managed	
Coverage (%) of portfolio with regard to sustainability analysis	100%	
Inclusion of target companies into the analysis process	Nein	
Inclusion of strategic important suppliers	Nein	
Sustainability advisory board	Nein	

Transparency

Signatory of the Eurosif transparency code	Ja
Availability of the Informationen	www.forum-ng.org/transparenz/transparenzlogo
Frequency of portfolio disclosure (about all requirements)	Monatlich
Extent of portfolio disclosure (about all requirements)	Upon request

Engagement

Direct dialog with decision makers	Ja, intern organisiert
Collective engagement	Ja, intern organisiert https://en.sycomore-am.com/5e6b6af9-3..._Syc
Execution of voting rights	Ja, intern organisiert https://en.sycomore-am.com/5cb7493e-Rappor

Environmental

Exclusion of branches/business segments companys			Exclusion of business practices companys	
Chlorine and Agrochemicals (e.g. Biocides)	Nein		Environmentally harmful behavior	Ja
Fossil fuels			Further:	
GMO technology (crops, etc.)		5%		
Nuclear energy (operation or components)		5%	Exclusion criteria states	
Further: Electricity generation, coal, oil & gas	Ja	5%	Nuclear energy on proportion of gross power generation *	
			UN Convention on Biological Diversity not ratified	*
			Kyoto Protocol not ratified	*
			Protocol on Biosafety not ratified	*
			Further:	

Social

Exclusion of branches/business segments companys			Exclusion of business practices companys	
Gambling	Nein		Employment rights (ILO Core conventions)	Ja
Pornography	Nein		Exploitative Child labor	Ja
Alcohol	Nein		Human rights	Ja
Tobacco	Ja	5%	Animal testing	Nein
Armaments - Production and trade	Ja	5%	Further:	
Cluster bombs and anti-personnel mines	Ja	0%		
Weapons of mass destruction (NBC/CBRN)	Ja	0%	Exclusion criteria states	
Further:			Employment rights	*
			Child labor	*
			Human rights	*
			High armaments budget	*
			Death penalty	*
			Carriage forward states according to Freedom House	*
			Violation against non-proliferation treaty	*
			Violation against Waffensperrverträge	*
			Further:	

Governance

Exclusion of business practices companys		Exclusion criteria states	
Corruption and bribery	Ja	Corruption	*
Further:		Further:	

Normbased Screening

ILO-Convention	Ja	Unternehmen aus dem Anlageuniversum ausschließen
OECD directive for MNCs	Ja	Unternehmen aus dem Anlageuniversum ausschließen
UN Global Compact	Ja	Unternehmen aus dem Anlageuniversum ausschließen
Further:		

* Not for significance for this fund. ** No exclusion but part of the analyses